

**Passive Solar Urban Design - Shadow Analysis of
Different Urban Canyons**

التخطيط العمراني المستدام: تأثير التشميس على التشكيلات العمرانية المربعة والإشعاعية

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Abstract

Although thermal comfort methods on a architectural scale are at present well developed, the approach and the techniques applied on an urban scale are yet to be consolidated in order to promote a climatic responsive urban design. The main goal of the study, which is a continuation of the researcher's efforts in his PhD thesis, is to examine the relationship between different urban forms and the shadow patterns they generate, and to develop evaluation tools for deriving climatic design criteria and information suitable for use by designers. In addition, the experiment intends to verify the common method used by architects to determine the most suitable spacing between buildings to avoid overshadowing and maintain good solar accessibility, as well as to clarify its limitations. Therefore, the experiment compares patterns (radial and rectangular) with different orientations, in order to clarify the relation between the orientation and the generated shadow pattern, so that an acceptable standard of solar accessibility could always be considered with the orientation of the urban pattern in mind. Hence, the study was also performed in order to determine the urban fabric that will allow the achievement of high urban density under optimal solar insolation conditions. Finally, the paper discusses the possible application of these

patterns in Palestine, in order to highlight the way that the derived results can be handled in real practice and so advance climatic urban design in Palestine. The SunCast Program which was used to conduct the experiments, provides numerical calculations for the shaded surfaces to assure a high accuracy for the required measurements.

ملخص

تهتم الورقة بدراسة التشكيل العمراني وأثره البيئي وخاصة في مسألة تأثير الظلال المتبادل للتشكيلات العمرانية على التخطيط العمراني بما يضمن إنشاء تجمعات حضرية تمتاز بكثافة بنائية مناسبة في ظل الاحتفاظ بمؤثرات مناخية تلائم الواقع الفلسطيني سواء في الضفة الغربية أو قطاع غزة، حيث تأتي هذه الدراسة تواصلاً لجهود الباحث في هذا المجال ضمن دراسته لأطروحة الدكتوراه. ويكمن الهدف الأساسي من البحث في تقييم العلاقة بين اختلاف الشكل العمراني ونماذج الظلال الناتجة وصياغة أدوات تمكن من اشتقاق معايير بيئية يمكن الاستفادة منها في توجيه العملية التصميمية. بالإضافة إلى ذلك فإن الدراسة تهدف إلى التحقق من مدى مناسبة الطرق المعتادة التي يستخدمها المعمارون لتحديد التباعد الأمثل بين المباني لتجنب الظلال الزائدة والمحافظة على القدر الملائم من التشميس. كذلك فإن البحث يقارن بين نماذج عمرانية مختلفة (مكعبة وحلقية) بتوجيهات مختلفة لتوضيح العلاقة بين التوجيه والظلال المتكونة، بحيث يتم الأخذ بعين الاعتبار اتجاهات الكتل العمرانية عند تحديد المعايير المثلى للنفاذية الشمسية. وبالتالي فإن الدراسة ستساعد في توضيح التشكيلات العمرانية التي يمكن أن تسهم في زيادة الكثافة البنائية نسبياً عن نظيراتها من التشكيلات الأخرى في ظل المحافظة على نفس الظروف الشمسية الجيدة. في النهاية تبحث الورقة في إمكانيات تطبيق هذه النماذج في الواقع الفلسطيني لتوضيح كيف يمكن لهذه النتائج المستخلصة أن يستفاد منها على أرض الواقع لتفعيل التخطيط العمراني المستدام في فلسطين. هذا وقد تم استخدام برنامج محوسب لحساب الأسطح المظللة، حيث يقدم البرنامج حسابات رقمية تضمن توفر الدقة المطلوبة.

Introduction

Finding a relation between the geometry of the form and the received solar radiation is very important. Under cold conditions, radiation will be welcomed and the form should receive as much radiation as possible, while under conditions of excessive heat, the same form should decrease undesirable solar impacts. An optimum urban form for a given site would give maximum radiation during the underheated period while simultaneously reducing insolation to the minimum during the overheated period.

Previous studies were mainly concerned with examining simple shapes and less attention was given to examine more sophisticated forms, especially forms that can create self-shading effects. Previous studies were mainly focused on examining rectangular forms with respect to solar rights and behaviour. These shapes are more suited to the grid urban pattern (Figure 1). However, there are other common urban patterns (such as the radial system) within the urban structure, in which other more complicated shapes are usually found, such as crescent and radial blocks (Figure 2). These forms usually tend to be adapted to road networks. These forms are also used to diversify the urban structure and for their aesthetic value and unique shapes.

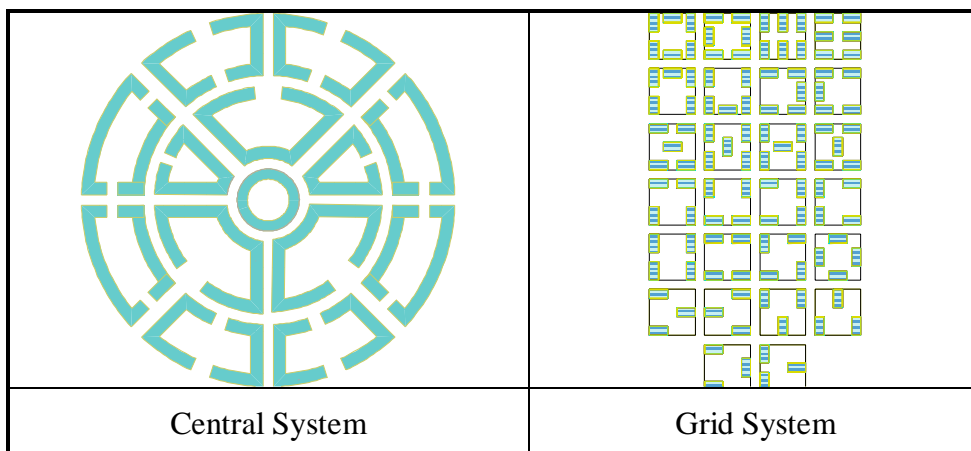


Figure (1): Urban Planning Systems.

In some locations, the urban pattern constitutes several kinds of forms, includes cubic and curvilinear shapes. Although radial forms are not very common within the current urban structures (mainly due to constructional and compositional aspects), clarifying their characteristics from the solar point of view could encourage the use of such types of forms. Previous researches examined simple shapes due to the difficulties associated with more sophisticated ones, such as the generated shadow pattern. The radial form has no simple direction and, in order to be examined, it has to be divided into many parts, as the simulation has to

In his well known study of the impact of external thermal forces on buildings, Olgyay (1992) considered boxlike forms having the same volume and type of construction. He attempted to find the optimum form, which loses the minimum amount of heat in winter and gains the least amount in summer for a particular climatic region. He concluded that the optimum form in different climates is a rectangle in plan, having a certain proportion, with the length being in the east-west direction. His study has shown that the minimum solar radiation input in summer and the maximum in winter can be achieved by orientation in which the long walls of a boxlike building are perpendicular to the north-south axis.

Although, the study illustrates how far thermal forces influence buildings, the study was mentioned just as a device for improving thermal conditions, no quantitative evaluation concerning the effect of having different types of urban patterns and radial forms in particular being discussed. The association between the boxlike form, mentioned in Olgyay's studies (Figure 3), and the optimum thermal performance of the form has affected further experimentation in this area. Olgyay's experiment on boxlike buildings has influenced researchers towards the investigation of simple objects and therefore some experiments of a similar kind have been presented in many studies. In addition, the tendency to have the form preciously elongated east-west, as was mentioned in Olgyay's experiment, has directed designers towards more experimentation with rectangular shapes; forms which have different physical features, and radial forms in particular, have been neglected.

investigate the link between the solar exposure and thermal performance of buildings with respect to some form parameters. Again utilizing generic urban forms, Martin and March's simplified forms have influenced various scholars to employ simple forms, thus eliminating the complexities found in real urban structure.

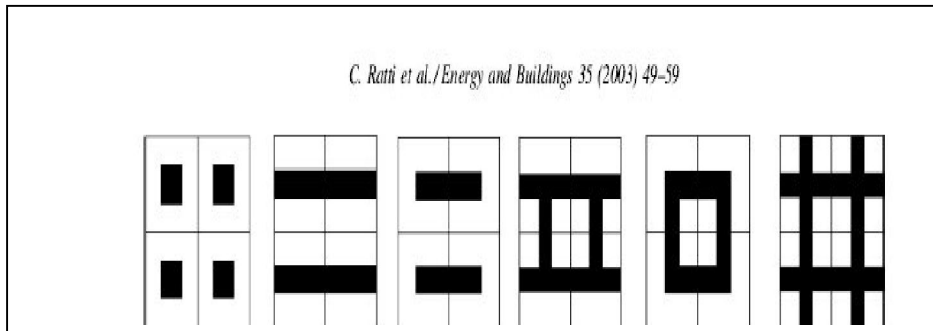


Figure (4): Generic Urban Forms, Based on Martin and March (Ratti et al., 2003)

The experiment aimed to examine the solar performance of the curvilinear form in comparison to the rectangular one. An optimum urban form for a given site would give maximum radiation during the underheated period while simultaneously reducing insolation to the minimum during the overheated period. Therefore, a comparison between the two forms, with regard to the total generated shadow in both winter and summer periods, was conducted. Also, the generated shaded area during the whole year was calculated to investigate the form which could be most suitable for heating requirements and the one that is most suitable for cooling.

The experiment also intends to establish a methodology by which the urban form can be investigated in terms of the generated shadow pattern. This methodology aims, not only to give information about the variation in the annual shaded percentage between the two forms, but also to give details about the season when this variation is greater. In addition, it will clarify the sides where this variation is maximal and will also indicate the

that exposure of building surfaces to direct solar radiation not only affects the surrounding environment, but also affects the thermal comfort inside the building itself. As different urban forms produce different shadow patterns, surfaces exposed to solar radiation vary from one form to another and a shadow analysis simulation is used to investigate the solar performance of the examined forms. Calculating the shaded area means that average direct solar radiation received by forms is indirectly examined (assuming that surfaces which are not shaded are exposed).

“It is found that solar exposure per unit surface area of building is related to the discomfort index and the former is therefore a good indicator of the relative thermal performance of buildings in different urban layouts” (Gupta, 1984).

It is very well known that solar heat gains to the building take place through the building envelope. Most buildings in Palestinian urban structures are poorly insulated and have very lightweight external walls and roofs, especially in the Gaza Strip and refugee camps, where thin and hollow cement blocks are predominantly used. Thus, solar exposure can provide a good indication of the possible heat gains, as the actual heat gain to the building interior will not be greatly reduced by the thermal resistance and thermal capacity of the envelope. More important will be the variation in the pattern of exposed and shaded area that occurs over the facades of buildings.

As it was stated in Lloyd Crossing sustainable urban design plan: "The planned massing and location of new development is crucial to optimizing solar exposure for the daylighting, heating and cooling of buildings" (Matt Hennesse et al. 2004). Hence, the control of thermal performance of the indoor spaces can be achieved by natural means through the control of insolation on the external surfaces of the forms. These exposed surfaces reflect some of the received radiation to the surrounding environment. Also, the amount of reradiated solar radiation from these surfaces to the sky dome varies according to the extent of the closure of the layout. However, some of the reflected radiation remains within the urban canyon and contributes to heating the outdoor living space. An optimum form for a given site would provide maximum

1.3 The Urban Site

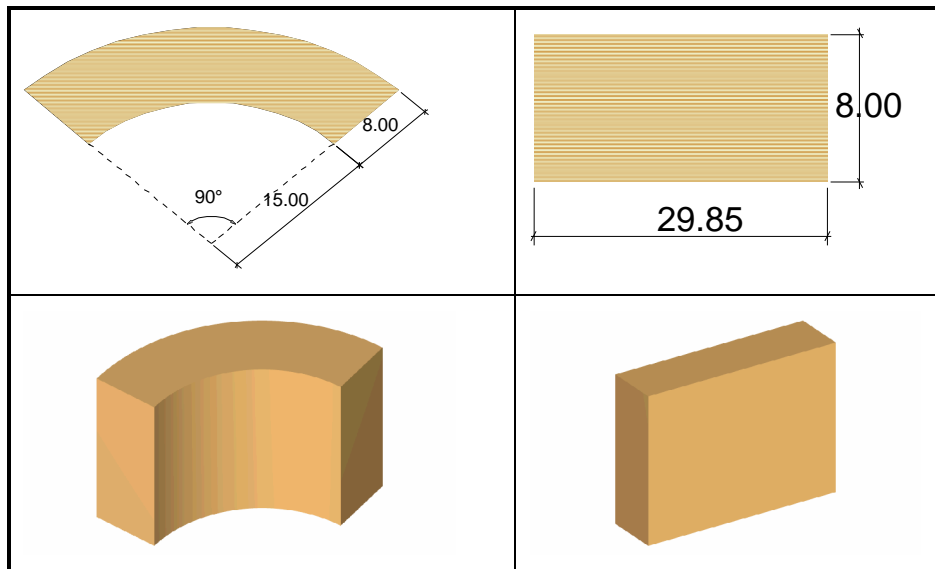


Figure (5): The Dimensions of the Radial and Rectangular Forms.

Two forms are suggested (the rectangular and curvilinear) in this experiment. The height of the forms is 16 m and the depth of the blocks is 8 m. The two forms have the same built volume (3820.8 m^3) and the same floor area (238.8 m^2) (Figure 5). As the two forms have the same height and the same perimeter (75.7m), the external surface areas for the two forms will also be identical (1211.2 m^2). These physical dimensions are congruent with the usual urban pattern in the new large-scale housing projects in Palestine which are, in general, five-storey residential blocks. The experiment is conducted for the radial form with the concave facade facing the south, and for the radial form with the concave facade facing north. Then the two radial forms with the same built volume and different orientations are examined.

The graph shows the generated shadow pattern in the two opposite facades for both forms in the summer period (Figure 6). The horizontal axis represents the daytime period in one-hour intervals. The vertical axis represents the percentage of the shaded area of the facade in units of 10%.

This graph illustrates that, in the radial form, the two opposite facades could be partially exposed at the same time, as the sunrays can simultaneously reach some parts located in both opposite facades. The variation between the two facades is maximal at noon, where the south facade is completely exposed and the north facade is completely shaded at the same time. In general, the radial form is more shaded and has less variation between the two opposite facades. In both forms, the north facade is more exposed than the southern one.

ii. Shadow Patterns in winter

The Rectangular Form			The South-facing Radial Form		
South Facade	North Facade	Average	South Facade	North Facade	Average
0 %	100 %	50 %	6.8 %	96 %	51.42 %
The Variation			The Variation		
100 %			89.2 %		
The Average Daily Shaded Area per Hour in Winter					

By studying the previous graphs, it can be concluded that the self-shading effect of the radial facade is maximal in summer, especially in the forenoon and afternoon periods, because sunrays in these periods are more parallel to the long axis of the forms and the altitude angle of the sun is smaller. Self-shading in these periods also lasts for a longer time, as the sun's azimuth changes at a slower rate in the morning and the afternoon. In contrast, this effect will be at a minimum during the noon period, as sunrays are coming directly from the south, perpendicular to the long axis of the forms. In this noon period the radial facade has the maximum shading or exposure, and the performance of the radial facade is approximately similar to the rectangular one in this case (especially in winter time).

1.5 The Application of the Radial Forms in Palestine

The possible application of these studies in Palestine is investigated in order to underline the focal point of the research. In addition, it will reveal the benefits that can be derived from these results in real practice. In Palestine and in other temperate and semi-arid regions, the situation is not so definite: a proposed solution for the winter conditions might not be appropriate for the summer conditions, and vice versa. In general, temperate climates, which have cold winters and hot summers, usually require passive solar heating systems during the winter and passive solar cooling solutions in summer.

1.5.1 Radial Forms with Bilateral Distribution

In bilateral types of buildings, it is important to ensure homogeneous distribution of sunrays for all residential units. It is necessary in this case to adapt the solar performance of the form and the insolation of the two opposite facades in such a way that ensures the access of the sunrays to all residential units located in both sides of the form. The optimal form will be the one with minor differences in exposed areas in both opposite facades in winter and summer periods.







The bilateral distribution of residential units is a very common urban pattern in Palestine for large-scale housing projects. Such a design is preferable from an economic point of view as the cost of the residential

facade will be able to receive sunrays in winter. In addition, some architectural aspects could be maintained in the opposite sides. For example, bedrooms in the residential units, located in both opposite sides of the building, could be situated in such a way to receive sunrays in the morning. This concept could be applied if the designer preferred to make the sunrays rouse people and facilitate the access of sunrays into bedrooms so that people might experience the beginning of the day in more pleasant manner.

1.5.2 Open and Closed Layouts

The rectangular form can be considered as representative of the open layout, while the radial one can be considered representative of the closed layout (or a layout which is less open). Conducting a comparison of the shadow analysis of the two forms (radial and rectangular) in winter and summer periods proves the adequacy of the rectangular form (open layout) for heating. It also proves the suitability of the radial form (closed layout) for cooling, as the radial form generates more shadow than the rectangular one over the whole year (Table 1).

Table (1): The Average Daily Shaded Area for the Radial and Rectangular Forms in the Two Seasons.

The Shaded Area in Summer Period					
The Rectangular Form			The South-facing Radial Form		
South	North	Average	South	North	Average
57.14 %	42.86 %	50 %	64.34 %	51.43 %	54.39 %
The Shaded Area in Winter Period					
The Rectangular Form			The South-facing Radial Form		
South	North	Average	South	North	Average
0 %	100 %	50 %	6.8 %	96 %	52.17 %
The Average Shaded Area in the Two Seasons					
The Rectangular Form			The South-facing Radial Form		
South	North	Average	South	North	Average
28.57 %	71.43 %	50 %	35.57 %	73.715	53.28 %
		54.39			50
		52.17			50
		53.28			50
Shaded Area in Summer		Shaded Area in Winter		The Average in the Two Seasons	

Reviewing these tables, it becomes evident that the rectangular form generates (in both the summer and winter periods) less shaded area than the radial one, due to the self-shading effect of the radial form. However, the exposed area in winter in the rectangular forms is concentrated in one facade: the southern one.

The differences between the radial and rectangular forms are more apparent in the summer period, as the variation between the exposed areas in identical facades in the two forms is the greatest (Table 2). This demonstrates that the radial form is more advantageous in areas where avoiding summer heat and generating more shadow is required.

The Exposed Areas of the Facades During Summer					
	The South F.	The East F.	The North F.	The West F.	Average
The South-facing Radial Form	35.66%	53.57 %	48.57%	53.57%	45.6%
The Rectangular Form	42.86%	50%	57.14%	50%	50%
The Variation					4.4%
The Exposed Areas of the Facades During Winter					
	The South F.	The East F.	The North F.	The West F.	Average
The South-facing Radial Form	93.2 %	80 %	4 %	80 %	47.83 %
The Rectangular Form	100 %	50 %	0 %	50 %	50 %
The Variation					2.17 %
The Average Exposed Area for the Facades Per Hour in the Two Seasons					
The South-facing Radial Form			46.715 %		
The Rectangular form			50 %		

In Palestine, the radial form will be preferable, where the major concern is to avoid summer heat (the coastal plain). In areas where the major concern is to receive sunrays in winter (the mountain area), the rectangular form will be more beneficial (Figure 9).

... Continue table (3)

The Solar Insolation Efficiency of the two Opposite Facades in the Two			
	The Exposed Area of the Two Opposite		
	Summer	Winter	Ew
The South-facing Radial	84.43 %	97.2 %	115.12 %
The Rectangular Form	100 %	100 %	100 %

1.5.3 The Annual Shaded Area Generated by the Forms.

i.A Comparison between the Rectangular Form and the South-facing Radial Form

The Average Annual Shaded Area per one Hour		
	The Rectangular Form	The South-facing Radial Form
The West Facade	49.31 %	34.72 %
The Outer Surface-North Facade	80.56 %	74.97 %
The East Facade	51.04 %	34.72 %
The Inner Surface-South Facade	19.79 %	38.81 %
Total Average	50 %	55.20 %

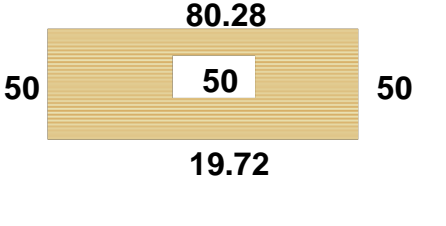
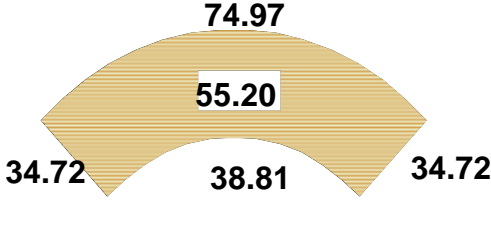
			
More Suitable for Heating Requirements		More Suitable for Cooling Requirements	

Figure (10): The Average Annual Shaded Area for the South-facing Radial Form and the Rectangular Form.

The radial form is more suitable for cooling requirements as it generates more shadow over the whole year. The rectangular form is

more suitable for heating requirements as it generates less shadow over the year (Figure 10).

It can be also viewed from the two forms that the side facades have the same shaded area over the year. This is because the two sides are symmetrically arranged in relation to the sun's path. In the rectangular form, one facade is constantly exposed for the first half of the daytime period (the eastern facade) and the other facade is exposed in the second half of the day (the western facade).

Table (4): The Variation between the Two opposite Facades with Regard to the Generated Shaded Area.

The South-facing Radial Form		The Rectangular Form	
The South Facade	The North Facade	The South Facade	The North Facade
38.81 %	74.97 %	19.79 %	80.56 %
The Variation		The Variation	
36.16 %		60.77 %	
The Radial Form is More Suitable for Bilateral Type of Buildings			

Another aspect which confirms the suitability of the radial form for the bilateral types of building is the annual shaded percentage distributed in the two opposite facades. The variation in the shaded percentage between the two opposite facades (Table 4) in the case of the radial form (36.16 %) is less than the variation between the two opposite facades in the case of the rectangular form (60.77 %). This means that the exposed area in the case of the radial form is distributed in a more impartial manner, and thus the radial form is more advantageous for bilateral types of building.

Table (5): The Average Annual Exposed Area Per Hour for Vertical Surfaces in Jerusalem.

The East Vertical Surfaces	50 %
The West Vertical Surfaces	50 %
The South Vertical Surfaces	80 %
The North Vertical Surfaces	20 %

As the rectangular form does not generate any self-shading effect, measurements in this case could be applicable to any vertical surface in Palestine. Although it is well-known among architects in Palestine that the south facade is better exposed than the northern one, this does not recognise clearly the ratios between the exposed areas in the two facades over the year. This outcome demonstrates that the ratio between the exposed area in the north facade and the south facade is 1: 4 respectively and the south facade gets approximately 80% of the daylight during the year, while the northern one gets only 20% of the daylight during the year (Table 5). The average annual shaded area per hour for vertical surfaces in Jerusalem for each month is also indicated in the table 6.

Table (6): The Average Daily Shaded Area per Hour for Vertical Surfaces in Jerusalem in Each Month.

	West	North	East	South	Total
Jan	50 %	100 %	50 %	0 %	50 %
Feb	50 %	100 %	50 %	0 %	50 %
Mar	50 %	100 %	50 %	0 %	50 %
Apr	50 %	76.92 %	50 %	23.08 %	50 %
May	50 %	53.57 %	50 %	46.43 %	50 %
Jun	50 %	42.86 %	50 %	57.14 %	50 %
Jul	50 %	50 %	50 %	50 %	50 %
Aug	50 %	69.23 %	50 %	30.77 %	50 %
Sep	50 %	100 %	50 %	0 %	50 %
Oct	50 %	100 %	50 %	0 %	50 %
Nov	50 %	100 %	50 %	0 %	50 %
Dec	50 %	100 %	50 %	0 %	50 %

2. Mutual Shading of Urban Canyons

2.1 Urban Canyon Configuration

Littlefair (2001a) described overshadowing as a key issue in daylight design, particularly the reduction of light to existing buildings. Gupta (1984) has indicated that the mutual shadowing of buildings in urban

2.2 A Comparison between Radial and Rectangular Urban Patterns.

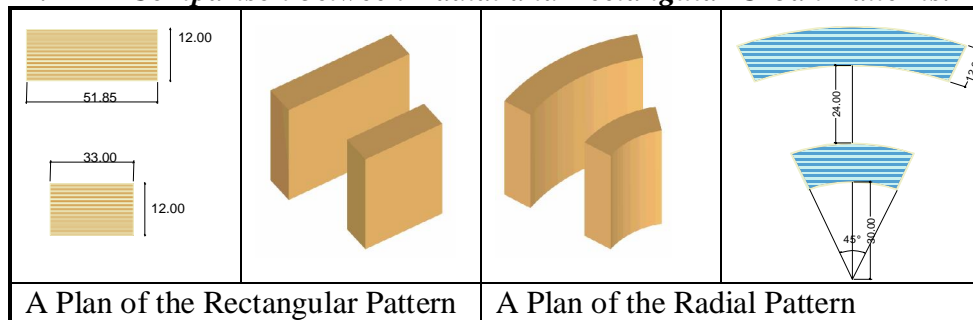


Figure (11): The Urban Site - East-West Pattern.

The Urban Site: Two patterns of urban canyons are suggested (rectangular and radial). Both patterns consist of two blocks with the same separating distance (Figure 11). The two patterns have the same built volume and the same canyon facade area. In addition, the two patterns occupy the same floor area. As the two patterns have the same heights and the same perimeters, the external surface areas for the two patterns will be the same. The height is supposed to be 16 m and the depth of the blocks is 12 m. These physical dimensions are congruent with the usual urban pattern in a lot of new large-scale housing projects in Palestine which are, in general, five-storey residential blocks.

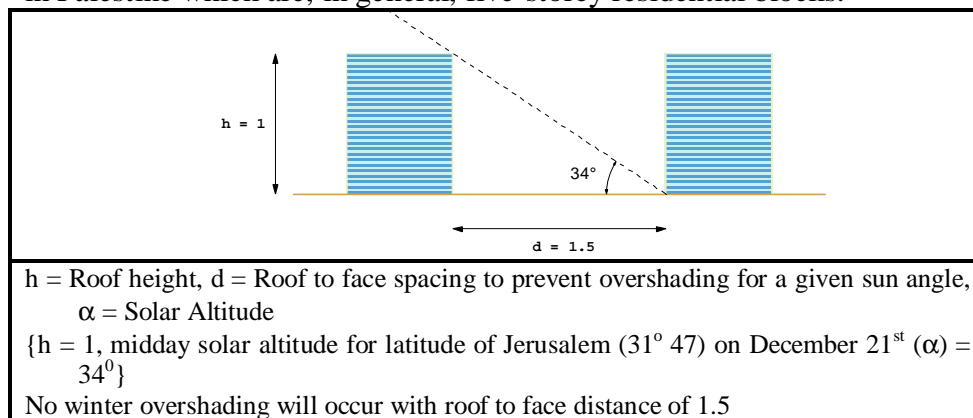


Figure (12): The Urban Canyon Sections - Urban Canyon Ratio (H/W): 1: 1.5.

2.2.1 The Shadow Analysis for the Canyon Located on the East-West Axis: The Annual Shaded Area Generated in the Two Patterns.

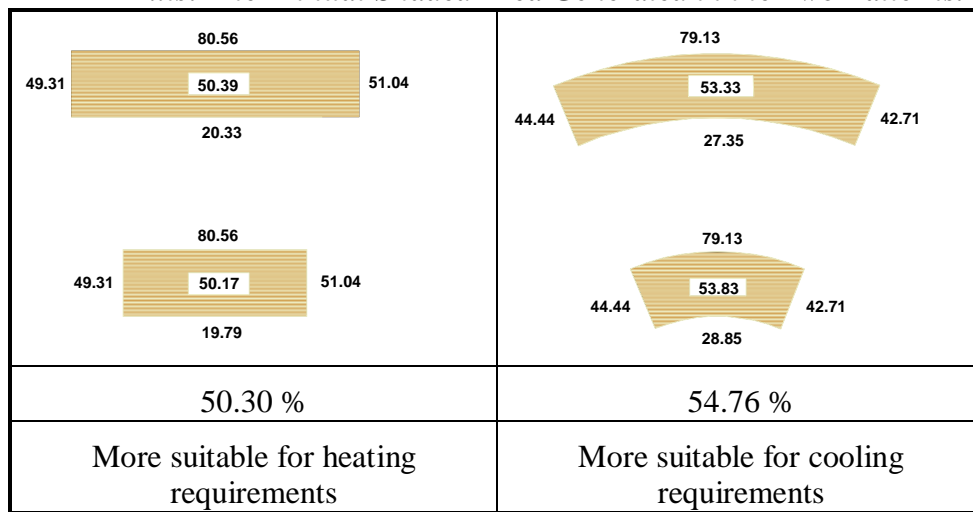


Figure (14): The Annual Shaded Area Generated in the Two Patterns.

As the annual shaded percentage, in the case of the radial pattern, is more than the rectangular one, it can be derived that the radial pattern is more suitable for cooling requirements, while the rectangular one is more suitable for heating requirements (Figure 14). In the case of Palestine, the rectangular pattern could be more advantageous in the West Bank area where heating requirements in winter are more important, while the radial pattern could be more beneficial in Gaza where cooling requirements are more essential in summer. The concave facades in the radial pattern have more shaded percentage than their counterparts in the rectangular pattern due to the generated self-shading effect. In each pattern, the side facades in the same block have approximately the same shaded percentage, as they are arranged symmetrically in relation to the sun's path. Also, the identical side facades of the two blocks have the same shaded percentage as they have the same azimuth surface angle. The side facades in the radial pattern are less shaded, as they are more turned towards the south.

The comparison between the two patterns reveals that the rectangular pattern with the canyon on the east-west axis has less shaded area than the rectangular pattern with the canyon on the north-south axis (Figure 16). The situation with the radial form is the opposite. The variation in the shaded percentage between the radial and the rectangular patterns is greater in the case of the canyons oriented east-west. This results from the bigger self-shading effect of the radial facades in the pattern with the urban canyon axis oriented east-west. Most of the self-shading takes place when sunrays match the long axis of the urban canyon. In the case of the east-west canyon elongation, this occurs in early morning and late afternoon, when the sun is closer to the horizon and its azimuth changes at a slower rate, resulting in a maximal self-shading effect (Table 7). Conversely, the radial pattern with the canyon located on the north-south axis has approximately the same amount of shaded area as the rectangular one, because the self-shading of the radial forms, which is expected to take place during the noon period, is minimised due to the high position of the sun in the sky at midday.

Table (7): The Radial Forms in the East-west Canyon Generate more Self-shading Effect.

The Shaded percentage			
The South Block-The South Facade		The East Block-The East Facade	
The Rectangular Pattern	The Radial Pattern	The Rectangular Pattern	The Radial Pattern
19.79 %	28.85 %	51.04 %	51.56 5
The Variation = 9.06 %		The Variation = 0.52 %	

The shadow caused by one block to another is bigger in the case of the pattern with the urban canyon axis oriented north-south, as the shadowing occurs early in the morning or late in the afternoon when sunrays are more horizontal and changes in the azimuth angle of the sun are slower. The shadow from the south block to the north one in the east-

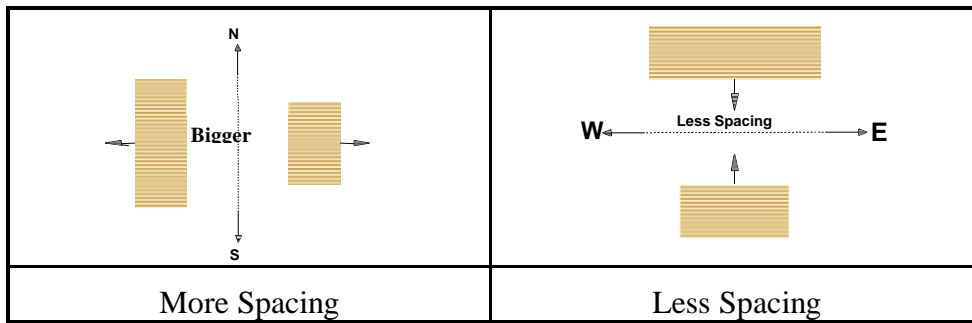


Figure (17): Solar Right: The Spacing between the Two Blocks.

The outcomes of the experiment show that the most intensified use of the site can be achieved with canyons on the east-west axis. The required size of the site with canyons located on the north-south axis must increase if it is to host the same number of residents and maintain the same quality of sunlight. This means a reduction of resident density per reference area in the case of the pattern with an urban canyon axis oriented north-south. A more significant increase of site size with this orientation occurs due to longer morning and afternoon shadows during the year, which dictate a larger distance between the buildings. So, arranging the blocks on the site in such a manner to have the axis of the urban canyon located in an east-west direction can allow for greater building intensity, while maintaining the same quality of sunlight (Figure 18).

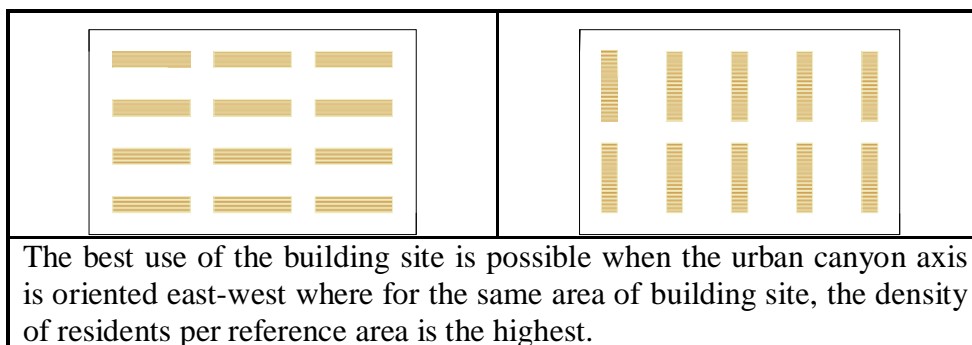


Figure (18): The Relation between the Building Intensity and the Orientation of the Canyon.

In the second part of the study, and as the annual shaded percentage in the case of the radial pattern, with a canyon lying east-west, is more than the rectangular one, it can be derived that the radial pattern is more suitable for cooling requirements, while the rectangular one is more adequate to meet the requirements for heating. In terms of the potential application of these models in Palestine, it is found that the rectangular pattern could be more advantageous in the West Bank area where heating requirements in winter are more important, while the radial pattern could be more beneficial in Gaza where cooling demands are more essential in summer. Finally, the experiment proves that an urban canyon ratio (H/W) of 1:1.5 is reasonable for maintaining solar right for buildings, as the shadowing caused by one block to another is relatively low. However, this ratio has to be considered with reference to the urban canyon orientation. The spacing between the two blocks within the urban canyon located on the north-south axis have to be more than the spacing between blocks within the urban canyon oriented east-west if it is required to maintain the same standard of solar accessibility. Thus, the most intensified use of the site can be achieved with canyons on the east-west axis.

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